

Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)

Prepared from
FORM PTO-1390

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U.S. P.O.

Attorney's Docket No. : C21-074US
Application No. : Not Yet Assigned
Application Filed : Herewith
International Application No. : PCT/US2004/000706
International Filing Date : 13 January 2004 (13.01.2004)
Priority Dates Claimed : 13 January 2003 (13.01.2003)
Title of Invention : METHOD OF TREATING COGNITIVE DECLINE DUE TO
SLEEP DEPRIVATION AND STRESS
Applicants for (DO/EO/US) : DEADWYLER, Sam A.; HAMPSON, Robert E.; PORRINO,
Linda J.; ROGERS, Gary A. and LYNCH, Gary S.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US)
the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau);
 - b) ☒ has been transmitted by the International Bureau;
 - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19/34 [35 U.S.C. 371(c)(3)]
 - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau);
 - b) ☐ have been transmitted by the International Bureau, and have been made a part of the specification as filed;
 - c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired;
 - d) ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☐ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

EXPRESS MAIL No.: EV 332306886 US

Deposited: July 1, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: "Commissioner for Patents, M. S. Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450."

Harold Hull

Harold Hull

July 1, 2005

Date

EXPRESS MAIL NO.: EV 332306886 US

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Items 11. to 16. below concern other document(s) or information included:

11. ___ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
 12. ___ An Assignment document for recording. (A separate Cover Sheet in compliance with 37 CFR 3.28 and 3.31 is included.)
 13. X A **FIRST** preliminary amendment.
 ___ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ___ A substitute specification.
 15. ___ A change of power of attorney and/or address letter.
 16. X (other items or information) Application Data Sheet, Published Int'l Application No. WO 2004/062616 A2,
 Forms: PCT/IB/301, PCT/IB/304 and PCT/IB/308.

17. X The following fees are submitted:

17. <u>X</u> The following fees are submitted:						CALCU- LATIONS	PTO USE ONLY
BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:							
___	Search Report has been prepared by the EPO or JPO				\$ 920.00		
<u>X</u>	International preliminary examination fee paid to USPTO [37 CFR 1.482]				\$ 800.00	\$800.00	
___	No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]				\$ 770.00		
___	Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO				\$ 1080.00		
___	International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)				\$ 100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT						\$800.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than ___20 / <u>X</u> 30 months from the earliest claimed date [37 CFR 1.492(e)]						\$130.00	
Claims		Number filed		Number extra	Rate		
Total Claims		56	- 20 =	36	x \$ 50. =	\$1800.00	
Indep. Claims		2	- 3 =	0	x \$ 200 =		
Multiple Dependent Claim(s) (if applicable) + \$ 360. =						360.00	
TOTAL OF ABOVE CALCULATIONS =						\$2990.00	
Reduction by 1/2 for filing by small entity , if applicable. Verified Small Entity Statement must be filed, if required. [Note 37 CFR 1.9, 1.27, 1.28]						- \$1495.00	
SUBTOTAL =						\$1495.00	
Processing fee of \$130.00 for furnishing the English Translation later than ___20 / ___30 months from the earliest claimed priority date [37 CFR 1.492(f)]						+ \$	
TOTAL NATIONAL FEE =						\$1495.00	
Fee for recording the enclosed assignment [37 CFR 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet [37 CFR 3.28, 3.31]. \$40.00 per property						+ \$	
TOTAL FEE (ENCLOSED) =						\$1495.00	
(AMOUNT TO BE REFUNDED OR CHARGED)						REFUNDED \$	
						CHARGED \$	

U.S. Application No. (if known, see 37 C.F.R. 1.50):
International Application No.: PCT/US04/00706

10/541687
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- a) ☒ A check in the amount of \$1495.00 to cover the above fees is enclosed.
b) ☐ Please charge my Deposit Account No. 04-0838 in the amount of \$
to cover the above fees. A duplicate copy of this sheet is enclosed.
c) ☒ The Commissioner is hereby authorized to charge any additional fees which
may be required, or credit any overpayment to Deposit Account No. 04-0838.
A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Henry D. Coleman
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Tel. (203) 366-3560

Henry D. Coleman
Name


Signature

32,559
Reg. No.

July 1, 2005
Date